# Screening for Comorbidities in Obstructive Sleep Apnea

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# ABSTRACT

**Introduction:** Obstructive Sleep Apnea (OSA) is a common sleep disorder among middle-aged adults which often results in a wide range of co-morbid conditions, predominantly of the cardiovascular/respiratory, endocrine/metabolic and neuropsychiatric manifestations. These comorbidities pose a significant burden on health care and considerably influence the disease as well.

**Aim:** To look for any association between obstructive sleep apnea and other comorbidities and to study the prevalence of these comorbidities in patients suffering from obstructive sleep apnea.

**Materials and Methods:** A cross-sectional study was performed at the Modern hospital, Srinagar, Jammu and Kashmir, India, on patients that were referred from various subspecialty clinics from July 2011 to August 2012. Polysomnography studies were performed in152 patients identified as having OSA (71 men and 81 women). Statistical analysis was performed by means of the computer program SPSS using a t-test for independent groups, a probability value of 0.05 was considered significant.

# **Results:** A total of 152 patients were identified as having OSA (71 men and 81 women) with a mean age (±SD) of 54.1 years (±13.6). Various comorbidities were found in 60.5% patients diagnosed with OSA. 59.2% of men and 61.7% of women with OSA had comorbidities. The individual prevalence of each comorbidity in order of decreasing prevalence was hypertension (63.2%), endocrine disorders (40.8%), coronary artery diseases (9.2%), depression (11.2%), dysthymia (5.3%), hypercholesterolemia (5.9%), asthma (7.9%), and approximately 19.7% had no comorbidities". ( $\chi^2$ : 13.2, df: 3, P: 0.004 (S).

**Conclusion:** This study demonstrates significant overlap between sleep apnea and multiple medical comorbidities. Understanding of various co-morbid conditions associated with OSA and their clinical consequences helps in prevention, early identification and improving the quality of life of these patients. Clinicians need more information about screening for these diseases in patients with OSA to ensure proper diagnosis and treatment of those with these conditions.

# Keywords: Hypertension, Polysomnography, Type 2 diabetes

# INTRODUCTION

OSA is a sleep-related disorder characterized by the collapse of the pharynx during sleep in the face of persistent ineffective breathing efforts leading to repetitive interruptions of ventilation during sleep resulting in sleep fragmentation and arterial hypoxemia [1]. OSA is common in the middle-aged population with a prevalence of about 5%. This common sleep disorder affects a plethora of organs leading to various cardiovascular diseases and neurocognitive disorders [2,3]. However, most of the cases of OSA remain unrecognized [4]. Obstructive sleep apnea is mostly unrecognized and undiagnosed. The end result is a huge financial and social burden on health care. Early assessment and intervention of OSA patients with comorbidities can go a long way in improving natural history of these diseases [2]. Various studies have implicated OSA as a contributory factor for diseases like hypertension, diabetes mellitus, and other cardiovascular diseases and this is independent of conventional risk factors such as obesity [2,3,5]. Recent work suggests that the disordered breathing during sleep exerts multi-organ pathological effects through sympathetic stimulation caused by arousal from sleep. Thus, in the link between OSA and hypertension, the emphasis is on the repeated arousals rather than the breathing abnormality [6,7]. Interestingly, hyperinsulinemia, a consequence of diabetes and obesity, also leads to increased sympathetic activity

#### general adult population [12].

The prevalence of obesity, diabetes and OSA in the US is alarmingly high [13]. OSA, obesity, and hypertension are all risk factors for diabetes [14-16]. Less than 10% of the subjects who met the criteria for sleep apnea had ever sought medical care or been evaluated for a sleep problem [17]. OSA is also common in patients with hypertension and congestive heart failure [18,19]. A relationship between OSA and idiopathic dilated cardiomyopathy has also been described [20]. In this setting it is associated with symptoms of sleep apnea as well as paroxysmal nocturnal dyspnoea and insomnia [21].

Thus, it can be concluded that obstructive sleep apnea is common sleep disorder among middle-aged adults and often results in a wide range of co-morbid conditions. These comorbidities pose a significant burden on health care and considerably influence the disease. Keeping this in view, the aim of this study was to look for the association between obstructive sleep apnea and other Comorbidities and to study the prevalence of these comorbidities in patients suffering from OSA.

# MATERIALS AND METHODS

A cross-sectional study was conducted at the Modern hospital Srinagar, Jammu and Kashmir, India, which is a private Hospital that provides the primary care and specialty services. Patients from all parts of the state of Jammu And Kashmir are referred to this Hospital for sleep studies, as the Standard polysomnographic

and hypertension [8]. The prevalence of OSA in patients with

hypertension is very high [9]. Also, more than half of sleep apneics

are hypertensive [10,11] as compared to a prevalence of 24% in the

studies within an accredited laboratory are only available in this hospital. The enrolee population in Modern Hospital is comparable to the surrounding community with respect to various demographic indices. A total of 152 patients were evaluated for sleep-related complaint between July 2011 to August 2012 and subsequently referred for polysomnography were reviewed.

The medical records provided the information related to the demographic data, general medical history, clinical information from the initial visit for sleep-related complaints as well as polysomnography results. Data obtained from medical records included age, gender, weight, height, Apnea-Hypopnea Index (AHI), and the presence or absence of medical conditions linked to OSA (including coronary artery disease, congestive heart failure, hypertension, pulmonary hypertension, stroke, depression) as well as other illnesses (asthma, chronic obstructive pulmonary disease, and diabetes mellitus). Informed consent was obtained from all OSA subjects.

All patients underwent overnight polysomnography for the assessment of sleep disordered breathing by means of a computer-based system. Polysomnographic recordings included: electroencephalogram, electrooculogram, electromyogram of the chin, nasal and oral airflow (by thermistors), abdomen and chest movement, oxygen saturation (by finger probe), snoring (by the microphone), body posture, and electrocardiogram. The data was analysed on a visual basis by an experienced investigator.

Sleep was defined according to the criteria of Rechtschaffen and Kales and apnea was defined as a cessation of airflow for at least 10s [22,23]. Hypopnea was defined as a reduction in thoracicabdominal movements of 50% or more and a decrease in the oxygen saturation of 4% or more. The AHI was calculated as the number of apneas and hypopneas per hour of total sleep time. Obstructive sleep apnea was defined as an AHI of five or more apneas/hypopneas per hour. Daytime sleepiness was measured by the Epworth Sleepiness Scale [23]. A score of more than nine points was considered as excessive daytime sleepiness. We defined the OSAS as a combination of AHI >5 and an ESS Score >9 [24].

## **STATISTICAL ANALYSIS**

Statistical analysis was performed by means of the computer program SPSS using a t-test for independent groups, a p-value of 0.05 was considered significant.

# RESULTS

A total of 163 patients were referred from various specialties and 11 patients were excluded as per they did not consent for study. A total of 152 patients [Table/Fig-1] were identified as having OSA with mean age groups ( $\pm$ SD) of 54.1 years ( $\pm$ 13.6). Females were more in number than their male counterparts 81 (53.2%) vs. 71 (46.87%). All patients were found to be obese (BMI= 31.09 $\pm$ 4.62). The other characteristics are summarized in [Table/Fig-1]. About 33 (21.7%) of patients were suffering from three or more comorbidities, 36 (23.7%) were suffering from two comorbidities, 53 (34.9%) of patients were suffering no having any comorbidities at all.11.3% of males were having three or more Comorbidities and 30.9% of females were having three or more Comorbidities and it was statistically significant.

Means ( $\pm$ SD) of comorbidities in women, men and total were 2.1 $\pm$ 1.7, 1.3 $\pm$ 1.5 and 1.7 $\pm$ 1.7 respectively [Table/Fig-2], 60.5% of patients in our population were found to be having comorbidities. The common clinical comorbidities in our study group were: hypertension 63.2%, endocrine disorder (40.8%), and diabetes (30.3%). Female hypertensives were significantly more in number than their male counterparts 60 (74.1%) vs. 36 (50.7%). Similarly, female diabetics were more in number than their male counterparts 31 (38.3%) vs. 15 (21.1%). In our study, only 5.9% of patients had

Descriptive variables	Mean±Standard deviation (SD)			
Age	54.1±13.6			
Body mass index (BMI)	31.32±4.62			
Neck circumference (NC)	40.5±3.5			
AHI	26.06±12.1			
ESS	14.930±3.93			
[Table/Fig-1]: Basic characteristics of study population.				

Male (n=71) Female (n=81) Comorbidities Total Mean±SD 1.3±1.5 2.1±1.7 1.7±1.7 3 or more 8 (11.3%) 25 (30.9%) 33 (21.7%) χ²: 13.2, df: Two 17 (23.9%) 19 (23.5 %) 36 (23.7%) 3, P: 0.004 (Sig.) One 25 (35.2%) 28 (34.)6% 53 (34.9%) None 9 (11.1%) 21 (29.6%) 30 (19.7%)

[Table/Fig-2]: Number of comorbidities.

	Total	Men	Women	p-value	
Co-morbidity	92 (60.5%)	42 (59.2%)	50 (61.7%)	0.746	
Hypertension	96 (63.2%)	36 (50.7%)	60 (74.1%)	0.003 (S)	
Endocrine	62 (40.8%)	21 (29.6%)	41 (50.6%)	0.008	
Diabetes	46 (30.3%)	15 (21.1%)	31 (38.3%)	0.022 (S)	
Hyperlipidemia	9 (5.9%)	3 (4.2%)	6 (7.4%)	0.407	
CAD	14 (9.2%)	5 (7.0%)	9 (11.1%)	0.387	
CHF	4 (2.6%)	1 (1.4%)	3 (3.7%)	0.378	
AF	4 (2.6%)	2 (2.8%)	2 (2.5%)	0.894	
Seizures	2 (1.3%)	1 (1.4%)	1 (1.2%)	0.925	
Stroke	4 (2.6%)	1 (1.4%)	3 (3.7%)	0.378	
CRF	1 (.7%)	0 (.0%)	1 (1.2%)	0.387	
Bronchial Asthma	12 (7.9%)	6 (8.5%)	6 (7.4%)	0.812	
COPD	7 (4.6%)	3 (4.2%)	4 (4.9%)	0.834	
ILD	4 (2.6%)	1 (1.4%)	3 (3.7%)	0.378	
RHD/PAH	3 (2.0%)	1 (1.4%)	2 (2.5%)	0.639	
Increase Hemocrit	3 (2.0%)	1 (1.4%)	2 (2.5%)	0.639	
Depression	17 (11.2%)	6 (8.5%)	11 (13.6%)	0.317	
Dysthymia	8 (5.3%)	3 (4.2%)	5 (6.2%)	0.592	
Adenoid Tonsils	3 (2.0%)	1 (1.4%)	2 (2.5%)	0.639	
[Table/Fig-3]: Gender wise comorbidities in OSA population.					

hyperlipidemia associated with OSA and it was significant.

Gender wise comorbidities in OSA population are given in [Table/ Fig-3].

### DISCUSSION

In the present study, all patients were found to be obese. Excessive body weight affects breathing in many ways and further increases the risk of developing OSA [2]. The common clinical comorbidities in our study group were: hypertension, endocrine disorders, and diabetes. The prevalence of hypertension in our study group was 63.2%. Female hypertensives were more in number than their male counterparts: 60 (74.1%) vs. 36 (50.7%). Our observation is supported by various other studies, wherein it was found that more than half of sleep apneics are hypertensive [10,11] compared to a prevalence of 24% in the general adult population [12]. Also, the prevalence of diabetes in our study group was 30.3%. Similarly, female diabetics were more in number than their male counterparts: 31 (38.3%) vs. 15 (21.1%). OSA is a clinical syndrome and is important in increasing the risk of various systemic conditions [25]. Higher prevalence of diabetes was found compared to the previous study done by Reichmuth KJ et al., [26]. Interestingly, a relationship between OSA and idiopathic dilated cardiomyopathy has also been described [20]. Two recent studies involving small numbers of patients with CHF described a 40-50% prevalence of CSA [18,19].

Various studies have implicated OSA as a contributory factor for diseases like hypertension, diabetes mellitus, and other cardiovascular diseases and this is independent of conventional risk factors such as obesity [2,3,5]. Recent work suggests that the disordered breathing during sleep exerts multi-organ pathological effects through sympathetic stimulation caused by arousal from sleep. Thus, in the link between OSA and hypertension, the emphasis is on the repeated arousals rather than the breathing abnormality [6,7]. Interestingly hyperinsulinemia, a consequence of diabetes and obesity, also leads to increased sympathetic activity and hypertension [8].

In our study, only 5.9% of patients had hyperlipidemia associated with OSA, although it has not presented expressive values. About 7.9% were having bronchial asthma. Salles C et al., reported that OSA is prevalent in patients with asthma [27]. In the present study, 11.2% patients in our study were found suffering from depression and 5.3% from dysthymia. Depression is considered as risk factor for OSA, which can be explained by serotonergic neurotransmission. Decrease in muscle tone of the upper respiratory tract and disturbed sleep both are caused due to low serotonin levels [28].

#### LIMITATION

The major limitation of our study was relatively small sample size and its cross-sectional design.

#### CONCLUSION

The present study demonstrated the statistically significant relationship between OSA and disorders like hypertension and diabetes. This study demonstrates significant overlap between the sleep apnea and multiple medical comorbidities. Understanding of co-morbid condition and the clinical consequences helps in their prevention, early identification and in improving the quality of life of these patients. Clinicians need more information about screening patients with OSA for other comorbidities to ensure proper diagnosis and treatment of those with these medical conditions. Thus, the compounding role of OSA in complicating the management of these comorbidities is in urgent need of further assessment and current practice approaches should be modified to include systematic evaluation and treatment of OSA. Further research on this topic may provide insight about the exact association between OSA and other comorbidities.

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